Research article

Inhalation of Sodium Pyruvate to Reduce the Symptoms and Severity of Respiratory Diseases Including COVID-19, Long COVID, and Pulmonary Fibrosis

Christopher R. Lupfer¹, Riley Nadler¹, Ronald Amen², Alain Martin*²
1. Missouri State University, Springfield, MO, USA
2. EmphyCorp/Cellular sciences inc, Flemington, NJ, USA

Corresponding author: Dr. Alain Martin, EmphyCorp/Cellular sciences inc, Flemington, NJ 08822, USA

Received: October 04, 2021; Accepted: October 26, 2021; Published: October 29, 2021

Abstract

Background: To combat the continuing COVID-19 pandemic, and to treat the symptoms in Long COVID patients safe, effective, and inexpensive treatments are needed. Patients recovering from severe COVID-19 are at serious risk of developing pulmonary fibrosis. Conversely, patients with pulmonary fibrosis have an increased risk and susceptibility to COVID-19 infection, demonstrating the need to treat both. Design: Three separate clinical trials were conducted 1) in COVID-19 infected patients, 2) in Long COVID patients, and 3) in patients with Pulmonary Fibrosis to determine the efficacy of N115, a sodium pyruvate based nasal spray. Patient symptoms, vital signs and respiratory function were evaluated compared to a placebo control or a no treatment baseline control. Findings: During active COVID-19 infection, N115 decreased viral titers and produced a significant improvement over saline in coughing/sneezing and fatigue. In Long COVID patients, N115 significantly reduced headache, coughing/sneezing and increased SaO² levels (decreased hypoxemia) and improved breathing (dyspnea). In patients with Pulmonary Fibrosis, there was a significant improvement in all lung functions, compared to baseline, as determined by changes in SaO₂, FVC, FEV₁, PEF, and FEV₁/FVC ratio. Conclusions: N115 is safe and effective at reducing symptoms of active COVID-19 infection and improves disease condition in Long COVID patients. Furthermore, N115 significantly improves lung function in Pulmonary Fibrosis patients. As COVID-19 and Pulmonary Fibrosis are associated with each other, our clinical research demonstrates that N115 is a promising treatment for both and adds to the current 19 human clinical trials where N115 has shown efficacy in thousands of patients, regardless of the etiology of the lung disease (COPD, CF, allergic rhinitis, sinusitis, the flu, COVID-19 infected patients, Long COVID and patients with Pulmonary Fibrosis).

Keywords: Pyruvate, COVID-19, long COVID, pulmonary fibrosis, immune modulator

Introduction

COVID19 is a disease caused by the novel SARS-CoV-2 virus [1]. In the last 1.5 years since the spread of this virus began a worldwide pandemic, hundreds of millions of people have become infected and millions have died [2]. Although the advent of any novel pathogen is likely to result in widespread infection and mortality, SARS-CoV-2 induces a particularly severe and rapid form of pneumonia in some patients concomitant with an overall cytokine storm but diminished interferon responses [3]. Although case severity varies by sex, age and comorbidities, some of the most severe comorbidities include high blood pressure, diabetes and interstitial lung disease [4].

Interstitial lung disease encompasses a large group of chronic lung disorders associated with excessive tissue remodeling, scarring, fibrosis, decreased FEV1 values, decreased SaO2 and decreased Nitric Oxide (NO) associated with nasal inflammation that causes congestion, coughing and sleep disorders [5,6]. Researchers have demonstrated that pulmonary fibrosis increases risk and susceptibility to COVID-19 infection [7]. Acute exacerbations of idiopathic pulmonary fibrosis (IPF) are known as serious events, which can reach a mortality rate of 50% when viral infections play a role [8]. This isn’t surprising considering pulmonary fibrosis and severe cases of COVID-19 share a few
common risk factors, including: increasing age, male sex, diabetes and hypertension [9, 10]. Given that pulmonary fibrosis (PF) debilitates lung function, it makes sense that PF would only increase the risk of having a severe case of COVID-19.

Understandably so, these overlapping risk factors are cause for concern when it comes to mitigating a double attack on the lungs, should a patient become exposed to COVID-19. Inverse, people recovering from severe COVID-19 are at serious risk of developing PF [9,10] clearly demonstrating the two-way relationship between COVID-19 and PF, which calls for specific considerations in how they interact. There are millions of patients worldwide with Long COVID symptoms, (patients that had COVID with lingering symptoms), including coughing, fatigue, low SaO2, and many with respiratory issues like PF and interstitial lung disease [8,11]. In 2015, there were thousands of complaints to the FDA stating that steroids, and all the available nasal spray products, failed to provide relief from nasal inflammation or treat the symptoms of IPF [12]. With many Long COVID patients developing PF and interstitial lung disease, new therapies are needed.

In the COVID-19 infection arm of this research study, we show that N115 is slightly better than saline at reducing viral loads, but N115 was clinically superior over saline in reducing some symptoms of COVID-19 infections, including coughing/sneezing and fatigue. In long COVID, N115 significantly reduced hypoxemia (low SaO2), coughing/sneezing, trouble breathing, and headaches. In our current and ongoing clinical trials examining the effects of sodium pyruvate nasal spray (N115), we discovered that patients with PF with COPD and IPF without a COPD pathway and not directly on the virus [15], our data demonstrate that sodium pyruvate acts on the host immune response, through metabolic pathways and not directly on the virus [13,14]. As pyruvate acts on the host immune response, through metabolic pathways and not directly on the virus [15], our data demonstrate that sodium pyruvate nasal spray (N115) treatment group.

Prior to conducting human clinical trials, IRB approval was obtained (IRB:Pro00049340) and the trials were registered on www.clinicaltrials.gov (NCT04824365, NCT04871815). The study protocol was prepared in accordance with the revised Helsinki Declaration for Biomedical Research Involving Human Subjects and Guidelines for Good Clinical Practice and patients included in this study were provided written informed consent. This was a two-phase study. In the first phase, thirty adults with confirmed active COVID-19 infections (by qRT-PCR) were randomly, and blindly, assigned to either a saline nasal spray or a saline + sodium pyruvate nasal spray (N115) treatment group. Patients were instructed to use their spray 3x daily for 14 days. Patient’s vital signs (BP, SaO2, HR, RR, Temp.) were monitored and nasal swabs tested for SARS-CoV-2 levels every 2 days for 14 days. Patients were asked to complete a Daily Symptoms Log every day for 14 days, scoring the symptoms on a Likert scale from 0-10 with 10 representing the most severe symptoms. Symptoms included fatigue, coughing/sneezing, sore throat, chills, congestion, trouble breathing, headache and body ache. Patients also recorded their body temperature 2x daily for the 14 days.

**Long COVID clinical trial**

In the second phase of the study, 22 patients were enrolled and served as their own negative controls. Patient’s vital signs (BP, SaO2, HR, RR, Temp.) were recorded on the first day, and patients were asked to complete daily symptoms log every day for 7 days without the use of the study medication. Patient’s symptoms (coughing/sneezing, chills, trouble breathing, body aches, headaches, fatigue, anxiety, loss of taste/smell and sore throat) were scored on the log using a Likert scale from 0-10 with 10 representing the most severe symptoms. On day 8, patient’s vital signs were recorded again as a baseline and the patient administered the first dose of N115. Fifteen minutes later, the patient’s vital signs were again tested, and the patients were asked to complete the same daily symptoms log every day for 7 more days while using N115 3x daily as a nasal spray. After the second week, the patients returned for a final collection of vital signs.

**Pulmonary fibrosis clinical trial**

An initial twenty-one-day sub-chronic clinical trial was conducted that included fifteen patients with PF (9 with PF and COPD and 6 with IPF without COPD) that remained on their normal medications but were also administered the 20mM sodium pyruvate nasal spray (N115). If the patients were also on nasal sprays as part of their normal therapy, that nasal spray was eliminated. In all 15 patients, the test results were compared to their previous three-week screening and baseline data on their current therapies as the baseline control for each variable for all their lung functions (FEV1, FVC, PEF, FEV1/FVC ratios, SaO2, Nitric oxide, coughing rates, and nasal inflammation). Following this, five new patients with PF and COPD had their medications removed and were administered N115 for three days in order to assess its effect without any other medication.

**Results**

**COVID-19: Acute infections in animals**

Previously, we demonstrated that treatment with sodium pyruvate can improve inflammation and decrease viral loads in mice during infection with influenza A virus and HSV1 [13,14]. As pyruvate acts on the host immune response, through metabolic pathways and not directly on the virus [15], our data demonstrate that sodium pyruvate is a promising treatment option that is safe, effective, and unlikely to elicit antiviral resistance. We, therefore, examined the effects of N115 treatment in hACE2 transgenic mice infected with SARS-CoV-2 to determine safety and efficacy. Mice treated with nebulized N115 lost significantly less weight...
compared to mice treated with nebulized saline (Figure 1). Mortality was similar between groups, but the infectious dose was not expected to result in high mortality. From this preliminary animal study, and in conjunction with multitudes of previously reported safety data [13,14], we proceeded with a clinical trial in humans with active infection of SARS CoV-2.

**COVID-19 infected patients**

This clinical trial was designed to determine the safety and efficacy of N115 against saline in COVID-19 infected patients. Thirty adults (Demographics presented in Table 1) with confirmed (positive RT-qPCR test) active COVID-19 infections were randomly, and double blindly, assigned to either a saline nasal spray or a saline + 20mM sodium pyruvate nasal spray (N115) treatment. Patients self-administered the sprays 3x daily for 14 days. Saline is acknowledged (Edenborough ELVIS project) to physically reduce nasal viral titers by 0.5 logs to 0.7 logs over untreated patients and reduces mucus and allergens which subsequently reduces congestion, trouble breathing, and sore throats [16]. Therefore, saline is not a true placebo for this study but a standard of care. Viral titers in N115 treated patients were lower compared to saline treated patients through day 8 as measured by RT-qPCR from nasal swabs (p<0.0197) (Figure 2A). N115 lowered viral titers below 10,000, the value that has been reported to significantly decrease transmission of the virus [17]. The mean day for patients to drop below 10,000 viral genome copies as measured by RT-qPCR from nasal swabs was day 6.4 for N115 vs. day 7.7 for saline.

Table 1. Patient Demographics and Symptom Data.

<table>
<thead>
<tr>
<th></th>
<th>N115 Treated Mean (15 patients)</th>
<th>Saline Treated Mean (15 Patients)</th>
<th>SED</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (Stdev)</td>
<td>53.2 (±17.57)</td>
<td>54.73 (±19.51)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sex (Number)</td>
<td>Female (11)</td>
<td>Female 12, Male 3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ethnicity</td>
<td>Latino (15)</td>
<td>Latino (15)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Symptoms</td>
<td>Fever (14) 99.7</td>
<td>Fever (14) 99.34</td>
<td>0.1049</td>
<td>0.0030</td>
</tr>
<tr>
<td>(Number of patients exhibiting symptoms)</td>
<td>Body Aches (10) 5.2</td>
<td>Body Aches (8) 3.482</td>
<td>0.3035</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td></td>
<td>Headaches (5) 3.6</td>
<td>Headaches (7) 1.939</td>
<td>0.3362</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td></td>
<td>Chills (8) 3.9</td>
<td>Chills (8) 1.964</td>
<td>0.2473</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td></td>
<td>Congestion (8) 3.2</td>
<td>Congestion (10) 3.107</td>
<td>0.3177</td>
<td>0.6738</td>
</tr>
<tr>
<td></td>
<td>Coughing/Sneezing (9) 2.5</td>
<td>Coughing/Sneezing (11) 3.026</td>
<td>0.2382</td>
<td>0.0435</td>
</tr>
<tr>
<td></td>
<td>Trouble Breathing (12) 3.3</td>
<td>Trouble Breathing (11) 3.000</td>
<td>0.2832</td>
<td>0.2753</td>
</tr>
<tr>
<td></td>
<td>Fatigue (7) 4.0</td>
<td>Fatigue (4) 5.446</td>
<td>0.2636</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td></td>
<td>Sore Throat (8) 3.2</td>
<td>Sore Throat (8) 3.235</td>
<td>0.2711</td>
<td>0.8509</td>
</tr>
</tbody>
</table>

Figure 1. Effects of N115 treatment in mice infected with SARS CoV-2. Two groups of ten mice each were infected with 800pfu of SARS CoV-2 P3 isolate USA-WA1/2020 in 50μl saline intranasally. One group of ten mice was then treated with PBS 3x daily and the other group of ten mice treated with N115 3x daily. Mice were weighed daily and monitored for malaise and mortality for 14 days. Statistical analysis was performed by two-way ANOVA (*p<0.05).
Figure 2. Effects of N115 treatment in Active COVID-19 Infection. Thirty patients were randomly assigned to either the saline control or the N115 treatment group. Viral titers (A) and SaO2 (B) were measured every 2 days. Coughing (C), Fatigue (D), Fever (E), Body Aches (F), Headaches (G), and Chills (H) were measured or scored daily on a Likert Scale (0-10, 10=most severe). Data were analyzed for statistical significance by two-way ANOVA. (*p<0.05, **p<0.01, ***p<0.0001).

Long COVID

We next examined the effects of N115 in patients that were experiencing long-term symptoms after recovering from active COVID-19. These patients, known as Long-COVID patients, were monitored for symptoms for one week with no treatment followed by one week of treatment with N115. Patients were not randomized but served as their own negative controls. During the initial 7 days when patients received no treatment, there was no significant change in SaO2 or heart rate, but there was a slight improvement in BP 1.25mmHg (p=0.015) (Figure 3A-D). Heart rate remained stable throughout the trial (Figure 3B). There was additional improvement in BP after N115 treatment within 15 minutes after the first treatment (Day 8 post vs. Day 1, -2.25mmHg, p<0.0001) and BP remained lower on day 14 (Day 14 vs Day 1, -2.0mmHg, p=0.0026) (Figure 3C-D). Importantly, 15 minutes after the first dose of N115 was administered on day 8, SaO2 improved by 0.5% from the pretreatment reading on the same day (p=0.0114). It continued to improve, and on day 14, SaO2 levels improved by 1.63% over day 1 and 1.5% over day 8.

ported from the use of either saline or N115 by patients or clinical staff.
pretreatment (p<0.0001 and p<0.0001) (Figure 3A).

During the first 7 days, when there was no treatment, patients reported little to no change in symptoms including body ache, headache, coughing/sneezing, and trouble breathing. However, after N115 treatment for 7 days, patients reported a significant 1.143 point improvement in headaches (p=0.0373), a 2.455 point improvement in coughing/sneezing (p=0.0091), and a 3.5 point improvement in trouble breathing (p<0.0001) (Figure 3E-H). Fatigue, anxiety, loss of taste/smell, congestion and body aches also showed some improvement, but the changes were not significant due to a lack of power from too few patients presenting with these symptoms enrolling in the study (Table 2). Overall, our results demonstrate that N115 significantly improves respiratory function in as little as 15 minutes with substantial improvement within 7 days compared to no treatment controls.

**Pulmonary fibrosis**

Many long COVID patients develop PF (9, 10). Therefore, we include here our data on N115 treatment of PF. Treatment of 15 patients with pulmonary fibrosis with N115, in addition to their standard medication, resulted in a significant (p=0.010)
Table 2. Patient Demographics and Symptom prevalence.

<table>
<thead>
<tr>
<th>Age</th>
<th>31.68 (±9.25)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>11</td>
</tr>
<tr>
<td>Male</td>
<td>11</td>
</tr>
</tbody>
</table>

Symptoms

(22 patients)

<table>
<thead>
<tr>
<th>(Number of patients exhibiting symptoms)</th>
<th>Fever (&lt;99.5˚F)</th>
<th>Body Aches</th>
<th>Headaches</th>
<th>Chills</th>
<th>Congestion</th>
<th>Coughing/Sneezing</th>
<th>Trouble Breathing</th>
<th>Fatigue</th>
<th>Sore Throat</th>
<th>Smell/ Taste</th>
<th>Anxiety</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td>3</td>
<td>7</td>
<td>0</td>
<td>4</td>
<td>11</td>
<td>16</td>
<td>3</td>
<td>1</td>
<td>4</td>
<td>2</td>
</tr>
</tbody>
</table>

In a second round, five patients with PF and COPD had their medications removed and were administered only N115 nasal spray solution for three days in order to assess its effects. The data from the three-day trial indicated a statistically and clinically significant improvement in lung function compared to baseline with increases that ranged from 12.0% to 43% in FVC, FEV1, PEF, and FEV1/FVC ratios (Figure 5A-C). A significant improvement was also seen in SaO2 levels, compared to base-
line, such that all subjects had SaO2 levels of ≥97, which persisted throughout the trial (p-values <0.001 at all time points) (Figure 5D).

Discussion and Conclusions

To combat the continuing COVID-19 pandemic, and to treat the symptoms in long Covid (hypoxemia (low SaO2), fatigue, coughing/sneezing, trouble breathing, body aches, headaches and pulmonary fibrosis), N115 (sodium pyruvate) was chosen because of its safety and efficacy profile after treating 3.5 million patients in over 200 hospitals globally with no adverse events reported. In 19 Phase I, II, III FDA human clinical trials, against a saline placebo, only N115 reduced inflammation and oxygen radicals and inflammatory cytokines including IL-6, a cause of the cytokine storm in patients with an active COVID-19 infection [18]. In prior clinical trial, N115, not the saline placebo, reduced congestion and coughing while increasing lung functions, increasing the synthesis of NO, and increasing SaO2 levels in thousands of patients including patients with varying lung diseases like COPD, pulmonary fibrosis, cystic fibrosis, allergic rhinitis, sinusitis and influenza infected patients [18]. Numerous studies have shown oxidative stress to be associated with pulmonary fibrosis, including Long COVID patients with PF, and that antioxidants are effective in attenuating fibroproliferative responses in the lungs of animals and humans [18-22]. Sodium Pyruvate is a natural antioxidant of the human body that inhibits fibrosis and received Orphan Drug Designations for the treatment of Cystic Fibrosis and Pulmonary Fibrosis [14,18,21]. The objective of the clinical trials reported here was to study the safety and efficacy of N115 and changes in lung function and COVID symptoms in acute virally infected COVID-19 patients, patients with chronic symptoms after COVID-19 (Long COVID), and patients with PF.

In the COVID-19 infections study, saline nasal spray was used as a control. However, saline is acknowledged (Edenborough ELVIS project) to physically reduce other Coronavirus titers by 0.5 logs to 0.7 logs over untreated patients, and saline also reduces mucus and allergens which subsequently reduce congestion, trouble breathing, and sore throats [16]. Therefore, saline is not a true placebo. Still, N115 lowered viral titers to below 10,000 by day 6.4 versus day 7.7 for saline. As titers below 10,000 reduce the transmission of COVID-19, this may help decrease virus spread in N115 treated patients [17]. N115 was also significantly better at reducing some of the symptoms of COVID-19 infections including coughing/sneezing and fatigue. Unfortunately, other drugs tested for COVID-19 treatment delivered in saline have reported increased coughing, sore throat, irritation, and other negative symptoms. As reported by the WHO, steroids increased SARS CoV-2 titers over untreated patients, potentially exacerbating infection. Unlike steroids that down-regulate nasal nitric oxide synthesis, sodium pyruvate reduces nasal inflammation while increasing the synthesis of nitric oxide in the nasal passages that is released into the lungs. Nitric oxide is then available to the lungs to fight infections, maintain bronchodilation, increase lung functions and decrease lung fibrosis [23-29].

Over the fourteen-day trial, patients treated with either saline or N115 showed improvement in headaches, trouble breathing, body aches, chills, sore throats, coughing/sneezing and fatigue. N115 treated patients did have slightly higher fever and took longer for chills, body aches and headaches to return to normal. As N115 works by modulating the immune response, including increasing NO etc., and not by direct antiviral activity, some increase in immune responses is anticipated while others are anticipated to decrease [13-15]. However, saline, does not affect in-

![Figure 5. Acute treatment of PF with N115.](image)
flammation or inflammatory cytokines, does not decrease oxygen radicals, or decrease coughing or increase lung functions, which are all desirable for treatment of COVID-19 and Long COVID or patients with PF and are documented with N115. Furthermore, N115 is not likely to elicit antiviral resistance as it targets the host response and not the virus directly. As SARS CoV-2 variants continue to immerge to the vaccine and are likely to immerge to antiviral drugs, the development of immune modulators like N115 that can treat COVID-19 patients is essential.

Long COVID patients were monitored for symptoms for one week with no treatment followed by one week with treatment with N115. Patients were not randomized but served as their own negative controls. During the first 7 days, when there was no treatment, patients reported little to no change in symptoms. However, after N115 treatment for 7 days, patients reported a significant improvement in headache, coughing/sneezing, and trouble breathing. Most importantly, N115 treatment improved SaO2 from the pretreatment reading on the same day and continued to improve blood oxygenation through day 14 as well as lowering blood pressure. Overall, N115 significantly improves respiratory function, which was supported by the patient’s scores on trouble breathing and SaO2.

Numerous reports indicate that long COVID patients develop pulmonary fibrosis, associated with excessive tissue remodeling, scarring, fibrosis, decreased FEV1 values, decreased SaO2 and decreased Nitric Oxide (NO) associated with nasal inflammation that causes congestion, coughing, trouble breathing, and sleep disorders [10,11,30-33]. Also, patients with pulmonary fibrosis have an increased risk and susceptibility to COVID-19 infection, which can reach a mortality rate of 50% [7,34]. Thus, N115 was used to determine its efficacy and safety in these patients too. During the acute treatment of patients with PF with a COPD component, their regular therapy was removed, and they were treated for three days with only N115 nasal spray, which demonstrated a statistically and clinically significant improvement in all lung functions compared to baseline as determined by changes in FVC, FEV1, PEF, and FEV1/FVC ratios, which persisted throughout the three-day trial. A significant immediate average improvement was also seen in SaO2 levels.

The results in the sub-chronic 21-day clinical test of patients with PF with a COPD component and patients with IPF without a COPD component, showed that inhalation of N115 for 21 days provides a significant reduction in coughing by day eight of the treatment, and continued to decrease over the course of the 21-day treatment. There was a significant improvement in nasal irritation/erythema with most patients being free of irritation by day 22. The group average expelled NO was higher, with 14 of 15 patients showing an increase during the study. Patients with PF with a COPD component remained on their regular therapy. Thus, no improvement in some lung functions was anticipated. However, there was a significant (p=0.010) improvement in lung function observed in all patients with IPF without COPD, while on their current medications as determined by changes in FVC, FEV1, PEF, and FEV1/FVC ratios. The improved FEV1/FVC ratios from 52% to 86% was clinically significant and indicated that current therapies in use are inadequate to treat patient with IPF. This study confirmed the thousands of complaints to the FDA stating that steroids, and all the available nasal spray products, are inadequate to provide relief from nasal inflammation or treat the symptoms of IPF (12). Importantly, very high patient acceptance of the N115 drug was reported (8 to 10 out of 10: “very good” or “excellent”). Although the number of patients enrolled in these trials was small, thus decreasing the power of the statistical analysis, the results all indicate that N115 is able to improve lung function, especially in patients suffering from chronic conditions such as PF and Long COVID. Seventeen other clinical trials with data submitted to the U.S. FDA also demonstrate similar findings in patients treated with N115 including COPD, cystic fibrosis, allergic rhinitis, sinusitis and influenza infected patients where lung function, NO and SaO2 improved with N115 treatment. In this study, as in the previous 17 studies, N115 must be inhaled, and our previous work shows that the route of administration is important for the function of sodium pyruvate (13, 14). Overall, our results demonstrate that N115 is a promising treatment that warrants further investigation.

Acknowledgements

We thank the staff at Family First Medical Research Clinic, Virginia Gardens, FL for patient recruitment and collection of patient data. We also thank Trinity Healthcare and Dynamic DNA Labs, Springfield, MO for collection of patient data.

References


